Mandatory Reporting of Serious Adverse Drug Reactions and Medical Device Incidents by Hospitals

Educational Support for Mandatory Reporting

Module 2: Reporting Processes to Health Canada

Module 2 – Learning Outcomes

Completion of Module 2 will enable you to:

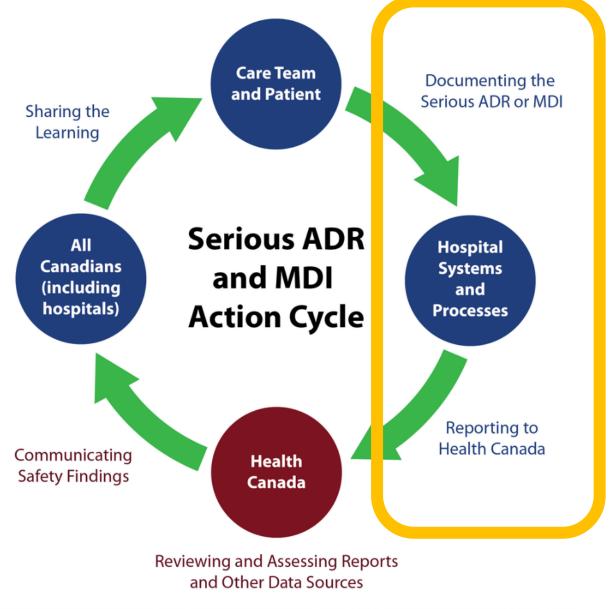
- Describe considerations for mandatory reporting
- Describe reporting options to Health Canada
- Differentiate among the following:
 - Serious adverse drug reaction (serious ADR)
 - Medical device incident (MDI)
 - Medication incident
 - Adverse reaction (AR)
 - Medical device problem (MDP)
- Describe the information contained within the Guidance Document
- Describe voluntary reporting of ARs and MDPs to Health Canada

Module 2 – Outline

- Considerations for Mandatory Reporting
- Submitting Reports to Health Canada
- Case Examples
- Guidance Document
- Voluntary Reporting to Health Canada
- Key Points to Remember
- Abbreviations
- Resources

Conceptual Model of Serious ADR and MDI Reporting by Hospitals

Module 2
describes
reporting
processes
to Health
Canada.



Source: Serious ADR and MDI Action Cycle. ISMP Canada, HSO, CPSI; 2019.

Considerations for Mandatory Reporting

Hospital Considerations

- Hospital systems, processes, policies, procedures, and forms may need to be updated to reflect requirements for mandatory reporting of serious ADRs and MDIs to Health Canada.
- Hospitals are expected to:
 - Develop and maintain internal policies and procedures in order to comply with the requirements for mandatory reporting of serious ADRs and MDIs to Health Canada
- Determine and communicate internal roles and responsibilities of staff in meeting the mandatory reporting requirements
- The mandatory reporting requirements apply to the hospital and not to the individual health care providers working in the hospital. However, health care providers will have an important role in recognizing and documenting serious ADRs and MDIs.

Health Care Provider Considerations

- Health care providers have a role in identifying and documenting serious ADRs and MDIs, in accordance with hospital-defined processes.
- Health Canada is interested in reports about a serious ADR or MDI, even if:
 - its association with the drug and/or medical device is only suspected;
 - causality is not confirmed;
 - all the details are not known; and/or
 - it hasn't caused serious harm but has the potential to cause serious harm if it was to reoccur (only in case of MDIs).



Tips for Recognizing a Serious ADR or MDI

- Serious harm from a drug or from a medical device can be mistaken for a symptom of a disease.
- A high level of suspicion, clinical awareness, and patient dialogue are key components in identifying a serious ADR or MDI. The following can help:
 - Ask about the patient's medical history
 - Consider a serious ADR or MDI if there is:
 - an unexpected change in the patient's clinical condition
 - a new health problem for the patient
 - a need for urgent additional therapies, procedures or surgeries
 - a sudden need for a rescue drug (e.g., naloxone, epinephrine, glucagon)
 - a medical order for an acute change to therapy (e.g., abrupt discontinuation)
- A serious ADR or MDI can occur shortly after beginning treatment or much later

Considerations for Reporting to Industry/Vendors

- Mandatory reporting regulations do not require hospitals to report to industry/vendors; however, reporting to industry/vendors is strongly encouraged.
- Prompt voluntary reporting from hospitals to industry/vendors enables industry/vendors to conduct investigations to determine causes and corrective actions in a timely manner.
 - MDIs: the hospital should inform industry/vendors of an MDI as soon as possible.
 The manufacturers/importers are required to determine causes and corrective actions.
 - Hospitals should consider including a report to industry/vendors in internal reporting policies and procedures.
 - Hospitals should consider sequestering the medical device involved in an MDI; it is important that industry/vendors have the device for further investigation and analysis.
- Communication among all parties is an effective mechanism to ensure product improvement and patient safety.

Submitting Reports to Health Canada

Submitting Serious ADR and MDI Reports to Health Canada

- Health Canada remains flexible and is able to receive reports in various formats via multiple secure submission methods, recognizing that hospital systems vary.
 - o If interested in submitting reports electronically to Health Canada, please email the Canada Vigilance Program at https://doi.org/10.2016/journal.com/.
 - Health Canada supports report submissions using a secure File Transfer Protocol (sFTP) and continues to explore system-to-system options.
- The reporting forms for serious ADRs and MDIs, together with instructions, are available on the Health Canada website:
 - Serious ADR reporting form: https://www.canada.ca/content/dam/hc-sc/documents/services/drugs-health-products/medeffect-canada/adverse-reaction-reporting/mandatory-reporting-hospital-drug-eng.pdf
 - MDI reporting form: https://www.canada.ca/content/dam/hc-sc/documents/services/drugs-health-products/medeffect-canada/adverse-reaction-reporting/mandatory-reporting-hospital-device-eng.pdf

Serious Adverse Drug Reaction (Serious ADR)

- A noxious and unintended response to a drug that occurs at any dose and that
 - requires in-patient hospitalization or prolongation of existing hospitalization,
 - causes congenital malformation,
 - results in persistent or significant disability or incapacity,
 - is life-threatening, or
 - 。 results in death.1



Examples of Serious ADRs

- Kidney damage from a diuretic (water pill) that requires dialysis
- Lung damage from a chemotherapy drug that requires long-term oxygen therapy
- Allergic reaction to an antibiotic that is life-threatening

¹ https://www.canada.ca/en/health-canada/services/drugs-health-products/medeffect-canada/adverse-reaction-reporting/mandatory-hospital-reporting/drugs-devices/guidance.html

New Form for Mandatory Reporting of Serious ADRs

		g Form for Hospitals			or Hospitals	g Form for Hospita	als
Health Santé Canada Canada		(s)				Serious Adverse	Drug Reaction (SADR) Reporting Form for Hospitals
	Protected "B" When Completed	n?*	2. Reaction start date*	3. Reaction end date*	(imal to the reaction* (e.g. prescription and non-prescription drugs, medical devices, natural		
Serious Adverse Drug Reaction Reporting Form for Hospitals Canada Vigilance - Adverse Reaction Reporting Program * = required if this information is in the control of or reasonably accessible by the hospital * = required, but hospital is exempt from reporting if this information is unavailable Specific field instructions can be found at the end of the form. Summation of a report does not constitute an admission that medical personnel or the suspect productifs caused or contributed to the serious adverse drug reaction(s). Privacy Notice. The personal information you provide to Health Canada is governed in accordance with the Privacy Act. We only collect the information Health Canada needs to contribute the privacy Act. We only collect the information Health Canada needs to contribute the privacy Act which is a serious and the Food and Drug Piopulation. Section C.O.I. On Canadas Niglance. Advisor Reaction Reporting Program an information long the many factors and Drug Piopulation. Section C.O.I. On Canadas Niglance Adverse Reaction Canada requires its information to assess adverse reaction program, monitor the sudicy of health products and enforce relevant injudices. The program of the privacy of the section of the sudicy of health products and enforce relevant injudices. The program of the privacy of the section of the section of the sudicy of health products and enforce relevant and the section of the		provide the Brand Name or the Pracer Name, as sell as the Manufacturer Name of known important for traceability of an advent reaction is a second resident product.			t in the previous sections' structured boxes, or related testilab results, or details you feel would contribute to assessment of the serious	port is identified as a the SADR report submitter further to identification number mber should be the sent to Health Canada. e hospital first	Le. initial) or a follow-up port is identified as a the SADR report submitter further to submitter further to si dentification number si dentification si de
personal information. For more information about these rights, or about our privacy p	Your rights under the Privacy Act. In addition to protecting your personal information, the Privacy Act gives you the right to request access to, and correction of, your personal information. For more information about these rights, or about their privacy—is please contact Health Canada's Privacy Coordinator at 16:346-3179 or https://doi.org/10.1006/s006-3179-3179-3179-3179-3179-3179-3179-3179					reporting hospital. ospital employee who	the drug. For example, if the patient took two tablets of a medication, please provide the strength of only one tablet. Strength is defined as the
handled improperly.	the Privacy Commissioner or Canada if you trans your personal information has been		 Common/Proper Name** (a 	ctive ingredient)		ue hospital identifier as	amount of an active ingredient that the product contains.
A. General Information	2 Houth County (US)	6. Dose	7. Frequ	in north and the second		ier, please contact	dosing regimen. Dose is normally expressed as a quantity.
1. Type of Report" Initial Follow-up	2. Health Canada (HC) Reference No.:	u. Dose	7. Frequ	iency		Is do not need to be	D7. Frequency: Indicate how often the dose was taken by the patient. Shorthand text, such as b.i.d., is acceptable in this field.
Organization File No. 4. Date report sub	omitted 5. Documentation Date*	Product start date	10. Prod	uct end date*		hospital. ted.	D8. Route of administration: Provide the means by which the drug entered the patient's body. The top five most common routes of administration are at
						ry in which the hospital	the top of the dropdown list. D9. Product start date*: Indicate the date on which the patient started using
6.a. Organization Contact First Name*	7.a. Phone No.* ext.	12. Lot No.	13. Expir	y date		ital.	the product. If the exact date is not known, partial dates are acceptable. D10. Product end date*: indicate the date the patient stopped using the product.
out organization contact increase			15. Did the reaction stop if dose	was reduced or removed?		elected. Enter the date	if applicable. Please only enter data in this field if it is known that the
b. Last Name*	b. Email	2* 🗆 🗆 🗆 No.	Yes No No N/A				patient stopped taking the product. Partial dates are acceptable. D11. Indication: Enter the therapeutic reason for use.
	c. Fax	?* Yes No	16. Did the reaction return with r	reintroduction of the product?) readily locate the case	D12. Lot No.: If known, indicate the lot number(s) of the suspect product. D13. Expiry date: If known, indicate the expiry date.
9 Organization Name:		17. Is the product still being administered?		ninistered?		itials or the record	D14. Manufacturer Details*: Indicate the manufacturer name of the suspect product and if the adverse reaction details were also provided to the
8. Organization Name*	12.112.1.12	- 10	Yes No				manufacturer. If so, please also provide the date on which the case was reported to the manufacturer and the reference number if known.
Source of report (profession)	10. HC Institutional ID (If ID provided, no need to	provide the Brand Name or	the Proper Name, as well as the Manufa	cturer Name if known		e reaction.	D15. Reaction stopped if dose was reduced or removed: Indicate if the adverse
11. Address 12. City	13. Province/Territory 14. Postal Code		2. Identifying Code for Urgent	oduct.		: If available, provide	reaction stopped after the suspect product was discontinued or the dose was reduced.
5 () () () () () () () () () (V.	2. Identifying Gode for Organi	T dolle ricati riced biago		own conditions. nt is known to have	D16. Reaction returned with reintroduction: Indicate if the adverse reaction reappeared after the suspect product was reintroduced.
15. Reason for seriousness* (explain (g) in section F)			 Common/Proper Name** (a 	ctive ingredient)		ntal components, etc.	D17. Product still administered: Indicate if the product is still being administered.
	atening (c) Caused disability (d) Admitted to hospital	6. Dose	7 Freque	IBDOV		tion	E. Concomitant therapeutic product(s)
(e) Lengthened hospital stay (f) Congenital malformation (g) Required medical intervention to avoid any of (a) to (f)		6. Dose 7. Frequency		icincy		ADR. If the SADR. Partial dates	E1. Known therapeutic products*: List all known health products, other than
B. Patient Information 1. Patient ID (e.g. initials, record no.) 6. Known medical conditions and relevant lifestyle factors* (e.g. hepatic and/or renal impairment, disbetes meliliar, current programory, bibacco, cannable or atothel use, recreational drug use, etc.)		Product start date* 10		uct end date*		SADR if applicable. Do al dates are acceptable.	the suspect product, the patient was taking at the same time (i.e. concomitantly) the reaction occurred. Information related to therapy of these products is not required but encouraged. Do not include health products used to treat the reaction.
2.*Sex** 3. Age**		12. Lot No.	13. Expir	ry date		**: List the serious nced. Please try to avoid	
*Intersect is a fater used for a variety of conditions in which a person is born with a previous born with a previous born with a previous person is born with a previous determine of femilies or make the state of femilies or make the state of femilies or make of the state of th		15. Did the reaction stop if dose was reduced Yes No NA No No Ni Yes Ni		reintroduction of the product?		form. Attach additional r the reported SADR. r traceability of an Identification number	F1. This section can be used to provide a narrative summary of the serious adverse drug reaction, additional information on the underlying diagnosis attractive fields that could help to determine why the reaction occurred. For serious cases involving death, this section can also be utilized to provide details on the official cause of death and autopsy results. For more details, refer to the Guidance Document for hospitals at
	Canadä			2019/06/04	2019/06/04		https://www.canada.ca/en/health-canads/services/drugs-health-products/ meddfetc.camads/advent-eaction-reporting/mandatory-hospital- reporting/drugs-devices.html 2019/06/04

The new reporting form for serious ADRs, together with instructions, are available on the Health Canada website: https://www.canada.ca/content/dam/hc-sc/documents/services/drugs-health-products/medeffect-canada/adverse-reaction-reporting/mandatory-reporting-hospital-drug-eng.pdf

Medical Device Incident (MDI)

 An incident related to a failure of a medical device or a deterioration in its effectiveness, or any inadequacy in its labelling or in its directions for use that has led to the death or a serious deterioration in the state of health of a patient, user, or other person, or could do so were it to recur.¹

Examples of MDIs



- An infusion pump stopped due to a malfunction, but failed to give an alarm. The patient received an under-infusion of antibiotics; septic shock occurred and prolonged the patient's stay in the hospital's intensive care unit.
- An external defibrillator failed to deliver the programmed level of energy to a patient due to a malfunction. The patient was not revived.

<u>Note:</u> If the patient was revived, this would be considered a potential to cause serious harm (if it had not been for the timely intervention by a health care provider) and would also be reportable.

¹ https://www.canada.ca/en/health-canada/services/drugs-health-products/medeffect-canada/adverse-reaction-reporting/mandatory-hospital-reporting/drugs-devices/guidance.html

New Form for Mandatory Reporting of MDIs

Health Santé Canada Canada			g of the person, medical directive/therapies, or		port Form for Health Care Professionals
Medical Device Problem Report Form for Health Care Professionals				rrors, incompatible with other devices/accessories, expired devices, issues with operator/reason for	rice Information
Canada Vigilance – Medical Device Problem Reporting Program Protocted 'B' When Completed				pection date.	• Name**: Provide the name of the device as per the product label, or
Fields represented by asterisks "indicate that they should be proving associately accessible by the hospital." * required, but hospital is can be found at the end of the form. Submission of a report does nontributed to the incident.	ided in the case of Mandatory Reports be exempt from reporting if this information	Hospitals if the information is in control or is unavailable. Specific field instructions			nation that can uniquely identify the device from others. You must be either Device Name or Device Identifier as essential information. **Model: Enter the model of the device as per the product label, or nation that can uniquely identify the device from others. **Identifier***: Enter the device identifier found on the label or
Privacy Notice: The personal information you provide to Health Canada is governed in accordance with the Privacy Act. We only collect the information we need to administer the Medical Device Problem Reporting Program authorized by the Department of Health Act, Section 4(h), and the Food and Druge Act, Section(3) (1) (c) and the Medical Devices Regulations, Section(3) (3) (i), (i)) (2), (2), (6), (1), (2), (3), (4), (5), (1)), (2), (3), (4), (1), (3), (4), (4), (1)), (3), (4), (4), (4), (4), (4), (4), (4), (4			Device identifier**		nation that can uniquely identify the device from others, such as a bar or GTIN. You must provide either Device Name or Device Identifier as ital information.
Purpose of collection: We require your information to assess the nature of the report and to fulfill the Health Products and Food Branch (HPFB) program's responsibilities for monitoring the use of medical devices or Canada. Personal information regarding the Submitter, collected from the medical device problem reports, may be used to conduct tollow up of a rendecida device information to monitor the safety and efficacy of manded emiscal devices for complance and enforcement activities to entertuing the conduct and the safety of the conduction from the manufacturer. Insoft case professionals / practitioners / scalibles and other users of marketed redical devices for the purpose of post-market surveitance of medical devices, in proof to service management, or to compleae a rand analysis. Trend and safety deals in a set confider format may be controlled by a variety of risk.			7. Software and version	n which country did incident occur? S. Location of the incident	No.*: Enter the serial number of the device per the label. Igue No.: Provide the catalogue number of the device. Itch No.*: Provide the lot, control, and/or batch number of the device. are and Version: Provide the software and version number. e Device Identifier (UIDI): Provide the UIDI assigned to medical devices be and acture of the device.
communication tools (including a monthly Health Canada newsletter – Infows Other uses or disclosures: Your personal information may also be provided			piry date 13. Age of device		and End of Use dates/Duration: Provide the time frame details for
device incident. In limited and specific situations, your personal information r	may be disclosed without your consent in acco	rdance with subsection 8 (2) of the Privacy Act.	me		use. date: Provide the expiry date of the device per the label.
Refusal to provide the information: If the report governed under the above not resolved through this cooperative, staged approach, Health Canada coul to seek an injunction under section 21.5 of the Act, to compel a Reporter to c	id potentially use provisions of the Food and L	re unlikely event that a situation of non-compliance is rugs Act and its associated regulations, for example,	etailer/supplier) eport to the vendor? Yes No		f device: Provide the age of the device. facturer Details*: Indicate the name of the medical device manufacture the incident details were also provided to the manufacturer. If so, pleas
For more information: This personal information collected is described in It	Info Source, available online at <u>infosource oc</u>	Refer to the personal information bank, HC PPU	(if known)		rovide the date that it was reported to the manufacturer and the nce number if known.
Your rights under the Privacy Act: In addition to protecting your personal in information. For more information about these rights, or about our privacy prints privacy-via-privac-segizanada.ca You also have the right to file a complain handled improperty.	ractices, please contact the Privacy Coordinate	r at 613-946-3179 or	lable Yes No		yr Details: Indicate the same information as above, but for a report led to the vendor of the medical device. he device returned to the manufacturer? Indicate if the device was ed to the manufacturer and, if so, provide the date returned. If not,
A. Report and Submitter Information	V	No.	d. Date explanted e. Duration of implant		te if it is available for assessment. ntation details: Provide implantation details if applicable.
Type of report* Initial Follow-up 2. Health Canada (HC) Reference No. (to follow-up reports) 2. Health Canada (HC) Reference No. (to follow-up reports)			vice		nore than one of this device involved? Select Yes/No if there were lies of the same device used in this particular incident. I.e. Three out of
3. Internal submitter file No. 4. Type of event*			Other		en pads were used in the procedure (ten were defective, three were le for use).
a. Submitter first name* 8. a. Contact phone* ext c. Fax		e errors, incompatible with other devices/accessories, n), expired devices, issues with operator/reason for inspection date.		of Device: Select those that apply. tial Device/Use Contributing Factors*: Please provide any pertinent details that may have had an impact on the incident.	
b. Submitter last name*	b. Contact email				ident Information
9. Organization name* 10". a. Report Type b. ITA Authorization No. or SAP reference No.			vice, discontinued use of device, treatment of alines.)	of incident: Provide the date of the medical device incident. • of report: Select the complainant who contacted the submitter to them about the incident	
11. Profession 12. Departmen		stitutional ID (rins unique number is provided, address need to be completed.)	ed, list other devices in Section F)		his a recurring issue? Select Yes/No to indicate if this type of incident hi ed previously in your organization. b. If so, how many times? Indicate ht of times it has occurred.
14. Address 15. City	16. Province/Territory	17. Postal code	Device identifier**	on site servicing of device/training of the staff,	ch country did the incident occur? Indicate if the incident occurred in a or not. If Other is selected, please describe the circumstances in the live D6.
18. Alternate contact			7. Software and version		on of the incident: Select from the list the setting of the incident. nt details**: Provide a detailed description of the incident including
19. Seriousness of the incident					nation on what happened in the incident, the outcome of the affected as involved if known, device(s) and equipment(s) involved, and other
	impairment of a body function t damage to a body structure	e. Unexpected medical or surgical intervention to prevent a, through d.	xpiry date 13. Age of device		mitant therapy involved during the incident.
B. Affected Person	damage to a body structure	intervention to prevent at anough at	me		ions Taken
Person's ID Who was affected? Nulnerable popular (e.g. initials) Other: Consequences to the affected person'(Describe the outcome	cm or kg	6. Sex 7. Age	etalen/supplier) eport to the vendor? Yes No (if known)	Ise the fields in section B, C, and D to guide	ontains information about any actions taken as a result of the medical nt. These are not legally required but they provide additional about the incident for Health Canada's consideration. Is taken by hospital: includes information on any relevant actions take hospital or the healthcare professionals to correct the problem or any
Parameter and property	parties of the second		itable Yes No d. Date explanted e. Duration of implant		ntive actions. s taken by manufacturer/vendor: Includes information on any releval s taken by the manufacturer/vendor to investigate or correct the m, if known.
					itional Details
		C 1101	vice Other		ction provides space for additional information about affected person
		Canada			ther devices involved, or details for the narrative if required.

The reporting form for MDPs was created for multiple reporting uses:

- Mandatory reporting for
 - hospitals,
 - Special Access <u>Program</u> (SAP),
 - Investigational
 Testing Authorization
 (ITA)
- Voluntary reporting to <u>Canadian Medical</u> <u>Devices Sentinel</u> <u>Network</u> (CMDSNet) by participating institutions

The new reporting form for MDPs, together with instructions, are available on the Health Canada website: https://www.canada.ca/content/dam/hc-sc/documents/services/drugs-health-products/medeffect-canada/adverse-reaction-reporting/mandatory-reporting-hospital-device-eng.pdf

Case Examples

A patient had been taking warfarin, among other medications, and presented to the emergency department with a life-threatening gastrointestinal bleed. The patient required hospitalization in order to be stabilized.





Life-threatening condition



Resulted in in-patient hospitalization



ADR meets the criteria of "serious"







A patient diagnosed with Hodgkin's lymphoma was being treated with doxorubicin, bleomycin, vincristine, and dacarbazine. Following cycle 3, the patient was admitted as an in-patient with complaints of dry cough and shortness of breath on exertion. Bleomycin-induced pulmonary fibrosis was suspected.



RATIONALE

Life-threatening condition



Resulted in in-patient hospitalization



ADR meets the criteria of "serious"





Note: This ADR also meets the criteria of "serious" because bleomycin-induced pulmonary fibrosis may be considered a persistent and significant disability as it can impact the patient's quality of life, given that it can take a long time for an improvement in pulmonary function.

A patient has been recently started on the oral anticoagulant warfarin and is having international normalized ratio (INR) monitored at an out-patient anticoagulation clinic at a hospital. The patient reported a nosebleed that occurred in the time between clinic appointments. Based on the patient's INR level, the patient's warfarin dose was adjusted. The patient will continue to have INR monitored at the hospital.



RATIONALE

While the patient may be at increased risk for another bleed (with an elevated INR and recent nosebleed), the ADR does *not* meet the criteria for "serious".

A patient was being treated with doxorubicin and cyclophosphamide, and developed neutropenia. After assessing the severity of the neutropenia, a decision was made to continue with chemotherapy at a reduced dose with growth factor support.



RATIONALE

While the patient may be at increased risk for potentially fatal infections, the ADR is *not* immediately life-threatening.

Note: This ADR would need to be reported if the patient developed febrile neutropenia and required in-patient hospitalization for treatment (e.g., antimicrobials to prevent infectious complications from febrile neutropenia).

A patient experienced dizziness and sweating after a dose of insulin. The patient required glucose tablets to recover. It was discovered that a shortacting insulin had been provided instead of the patient's usual long-acting insulin.



RATIONALE

- A medication incident, also referred to as a medication error, is a mistake with medication or a problem that could cause a mistake with medication.
- Medication incidents are generally preventable and include errors such as receiving the wrong medication or dose, or using the wrong route of administration.

Note: Medication incident-related reporting and learning occurs through a separate and complementary program: the Canadian Medication Incident Reporting and Prevention System (<u>CMIRPS</u>).

Source: https://www.canada.ca/en/health-canada/services/drugs-health-products/medeffect-canada/medeffect-canada-role-management-prevention-harmful-medication-incidents.html

Patients undergoing endometrial ablation of the uterus suffered burns to adjacent organs; these burns were due to thin uterine walls and were an unanticipated side effect of ablation. The manufacturer failed to change the ablation device label to warn users of this side effect (which may be produced when the device is working within specification).



RATIONALE

Serious deterioration in the state of health of a patient



Reportable MDI







A health care professional reported that the sewing cuff was discovered to be defective during a heart valve implant. The defective valve was abandoned, a new valve was implanted, and pumping time during surgery was extended. This defect had the potential to cause serious harm.





RATIONALE

Potential for death or serious deterioration in the state of health of this patient due to extended surgical time and this possible defect being missed prior to surgical close on other patients leading to emergency failure



Reportable MDI





A batch of out-of-specification blood glucose test strips is released by a manufacturer. The patient uses strips according to instructions, but readings provide incorrect values leading to incorrect insulin dosage, resulting in hypoglycemic shock and hospitalization.



RATIONALE

Serious deterioration in the state of health of a patient



Reportable MDI







A user performed an inflation test prior to inserting the balloon catheter into the patient, as required in the instructions for use accompanying the device. A malfunction on inflation was detected and another balloon was used.



RATIONALE

This device deficiency would <u>always</u> be found by the user prior to patient use and is an expected potential deficiency noted in the product's instructions for use.

If the user performed the testing prior to use, as per the instructions, no harm would come to a patient.

A patient died after dialysis treatment. The patient had end-stage renal disease and died of renal failure.



RATIONALE

When the hospital has information that the cause of the incident was definitely due to a patient's condition, the incident does not need to be reported. The patient's condition could be pre-existing or occurring during device use. An incident due to a patient's condition does not meet the requirements of an MDI.

Mandatory Reporting of Serious ADRs and MDIs by Hospitals:

Guidance Document

Guidance Document

1. Introduction

2. The regulations and their purpose

- Purpose
- Serious ADR and MDI definitions

3. Roles and responsibilities

- Role of hospitals / health care professionals
- Other types of facilities (e.g., private clinics, nursing homes, outpatient clinics)
- Hospital's requirement to report in other situations (examples)

4. Applicability of the regulations according to product type

- Applicable therapeutic products / medical devices
- Non-applicable therapeutic products / medical devices
- Determination of applicability for combination products

Source: https://www.canada.ca/en/health-canada/services/drugs-health-products/medeffect-canada/adverse-reaction-reporting/mandatory-hospital-reporting/drugs-devices/guidance.html

Guidance Document

5. Serious ADRs or MDIs to be reported by hospitals

- Serious ADR / MDI reportability considerations
- Serious ADR / MDI examples
- Outcomes associated with MDIs
- Causality assessment / hospital investigations
- Documentation examples

6. Information requirements for serious ADR and MDI reports

Serious ADR / MDI data elements

7. When and how to submit serious ADR and MDI reports

- Reporting timeline
- Health Canada follow-up requests
- How to send reports (submission methods and formats)
- Links to ADR/MDI reporting forms
- Use of third-party reporters
- Feedback

Guidance Document

8. Privacy

Privacy considerations when submitting reports

9. Additional reporting considerations

- Submission of reports to manufacturers
- Submission of reports to CMDSNet

10. Compliance and enforcement

Health Canada compliance and enforcement actions for hospitals

Appendices

- Appendix 1: Acronyms, Definitions and Terminology
- Appendix 2: Third-party Reporting Authorization Form
- Appendix 3: Reporting requirements for therapeutic products not subject to the new regulations for hospitals
- Appendix 4: Quick Reference Guide

Voluntary Reporting to Health Canada

Voluntary Adverse Reaction (AR) and Medical Device Problem (MDP) Reporting

- Health Canada builds post-market safety knowledge integral to informing effective clinical use of health products. This safety knowledge is derived from several data sources, including serious ADR and MDI reports.
- Voluntary reporting of suspected ARs or MDPs by health professionals and consumers is another method to monitor the safety and effectiveness of marketed health products.

Adverse Reaction (AR)

A noxious and unintended effect to a health product.¹



Examples of ARs

- Reduced kidney function from a diuretic (water pill)
- Reduced lung capacity from a chemotherapy drug
- Allergic reaction to an antibiotic

¹ https://www.canada.ca/en/health-canada/services/drugs-health-products/medeffect-canada/adverse-reaction-reporting.html#a1

Medical Device Problem (MDP)

- A medical device problem is related to:
 - inadequate labelling or instructions for use
 - o a failure of the device or a deterioration in its effectiveness
 - an actual or potential deficiency that may affect product performance or safety¹

Note: Medical device problem reporting includes any type of medical device issue; it does not necessarily meet the definition of an MDI.



Examples of MDPs

- Tubing leaked, causing a delay in treatment, but there was no serious harm to the patient
- Needle broke during a blood draw, but it was removed with minor injury to the patient

¹ https://www.canada.ca/en/health-canada/services/drugs-health-products/medeffect-canada/adverse-reaction-reporting.html#a1

Canada Vigilance Program

The <u>Canada Vigilance Program</u> is a national post-market monitoring program that collects and assesses reports of suspected ARs and MDPs involving health products marketed in Canada.

AR Voluntary Reporting for Health Products

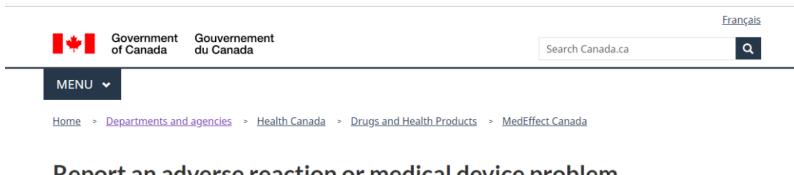
- Online form: https://hpr-rps.hres.ca/static/content/form-formule.php
- Telephone: 1-866-234-2345 (toll-free)
- Fax or Mail: Download, print and complete the <u>Side Effect Reporting Form</u> (please read the instructions before completing the form)
 - Fax: 1-866-678-6789 (toll-free)
 - Mail: Canada Vigilance Office (using the postage paid label)

MDP Voluntary Reporting

- The <u>Canadian Medical Devices Sentinel Network</u> (CMDSNet) is a pro-active surveillance program that encourages the reporting of MDP reports from all types of institutions.
 - Only institutions participating in CMDSNet voluntarily report MDIs occurring within their organizations directly through the Canada Vigilance Program.
- Other voluntary reporting from non-participating CMDSNet institutions, consumers, and health professionals are encouraged to report device-related incidents directly to Health Canada by completing a <u>Health Product Complaint Form</u> via the Regulatory Operations and Enforcement Branch.

Reporting ARs and MDPs to Health Canada

The <u>Report an Adverse Reaction or Medical Device Problem</u> web page provides access to more information and forms.



Report an adverse reaction or medical device problem

Reporting adverse reactions and medical device problems by consumers, health professionals and regulated parties, including industry and hospitals.

On this page

- What are adverse reactions and medical device problems
- Consumers and health professionals: voluntary reporting
- Voluntary reporting: how to report an adverse reaction or medical device problem
- Industry, hospitals and other regulated parties: mandatory reporting
- Mandatory reporting: how to report an adverse reaction or medical device problem

Key Points to Remember

- Hospital systems, processes, policies, procedures, and forms may need to be updated to reflect requirements for mandatory reporting of serious ADRs and MDIs to Health Canada.
- Health care professionals have an important role in serious ADR and MDI reporting.
- Health Canada is open to accepting different formats for reports of serious ADRs and MDIs, recognizing that hospital systems vary.
- It is important to understand **the differences** between serious ADRs, MDIs, medication incidents, ARs, and MDPs, and how to report them.
- The Guidance Document offers information to help hospitals comply with the regulatory requirements for serious ADR and MDI reporting to Health Canada.
- Health Canada values voluntary reporting and has programs to support it.

Abbreviations

ADR: Adverse Drug Reaction

AR: Adverse Reaction

CMDSNet: Canadian Medical Devices Sentinel Network

CMIRPS: Canadian Medication Incident Reporting and Prevention System

ITA: Investigational Testing Authorization

MDI: Medical Device Incident

MDP: Medical Device Problem

SAP: Special Access Program

sFTP: Secure File Transfer Protocol

Resources

- Applications for Medical Device Investigational Testing Authorizations Guidance Document Summary
- Canadian Medical Devices Sentinel Network
- Canadian Medication Incident Reporting and Prevention System
- Canada Vigilance Program
- MedEffect Canada
- Mandatory reporting of serious adverse drug reactions and medical device incidents by hospitals Guidance document
- Health Canada's role in the Management and Prevention of Harmful Medication Incidents
- Health Product Complaint Form
- Medical Devices Regulations
- Medical Devices Special Access Programme
- Side Effect Reporting Form
- Protecting Canadians from Unsafe Drugs Act (Vanessa's Law) Amendments to the Food and Drugs Act (Bill C-17)
- Regulations Amending the Food and Drug Regulations (Serious Adverse Drug Reaction Reporting Hospitals): SOR/2019-190
- Regulations Amending the Medical Devices Regulations (Medical Device Incident Reporting Hospitals): SOR/2019-191
- Report An Adverse Reaction or Medical Device Problem

For additional information, please contact the Canada Vigilance Program at:

Email: <u>hc.canada.vigilance.sc@canada.ca</u>

Telephone: 1-866-234-2345

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